

**FILE COPY**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Receipt

In re application of:

Tomoe Yamamoto

Appln. No.: 09/450,351

Filed: November 29, 1999

For: METHOD FOR MANUFACTURING  
SEMICONDUCTOR DEVICE



Art Unit: 2825


Examiner: To be Assigned

Docket No.: SHM-00901

#7

Certificate of Mailing

I hereby certify that the foregoing documents are being deposited with the United States Postal Service as first class mail, in an envelope addressed to the Assistant Commissioner for Patents Washington, D.C. 20231 on this date of January 26, 2000.

  
Name: Raymond Baright

**REQUEST FOR CORRECTION OF FILING RECEIPT**

**Customer Corrections Branch**  
Assistant Commissioner for Patents  
Washington, DC 20231


Sir:

Enclosed is a copy of the Filing Receipt for the above-identified U.S. patent application as well as a copy of the originally-filed Declaration. Please correct the inventor's name to Tomoe Yamamoto. The change is noted in red on the copy of the Filing Receipt.

Should there be any questions concerning this request, the Examiner is invited to contact the undersigned at (617) 951-6676.

Respectfully submitted,

HUTCHINS, WHEELER & DITTMAR

  
Donald W. Muirhead  
Reg. No. 33,978

January 26, 2000

Date

Patent Group  
Hutchins, Wheeler & Dittmar  
101 Federal Street  
Boston, MA 02110

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/450,351	11/29/99	2825	\$760.00	SHM-00901	15	20	2

PATENT GROUP  
FOLEY HOAG & ELIOT LLP  
ONE POST OFFICE SQUARE  
BOSTON MA 02109-2170

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) TOMOE YAMAMOTO TOKYO, JAPAN.

FOREIGN APPLICATIONS- JAPAN 337542/1998 11/27/98  
JAPAN 55185/1999 03/03/99

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 01/07/00

TITLE

METHOD FOR MANUFACTURING A SEMICONDUCTOR DEVICE

PRELIMINARY CLASS: 438

RECEIVED HWD  
JAN 21 2000  
IP DEPT.

RECEIVED

JAN 18 2000

F.H. & E. LLP  
PATENT DEPT.

DATA ENTRY BY: BRITTON, PAULA

TEAM: 03 DATE: 01/07/00

\*\*\*\*\*

(See reverse for new important information)

## DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

## A METHOD FOR MANUFACTURING A SEMICONDUCTOR DEVICE

the specification of which (check one)

☒ is attached hereto;

☐ was filed on \_\_\_\_\_ as United States Application Number or PCT International Application Number \_\_\_\_\_ on \_\_\_\_\_, and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulation, § 1.56. I hereby claim foreign priority benefits under Title 35, United States Code, § 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate disclosing the subject matter claimed in this application and having a filing date (1) before that of the application on which priority is claimed, or (2) if no priority is claimed, before the filing date of this application.

## Prior foreign Application(s)

Number	Country	Day/Month/Year Filed	Priority Claimed
337542/1998	Japan	27 November, 1998	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
55185/1999	Japan	3 March, 1999	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No

I hereby claim the benefit under Title 35, United States Code, § 120/365 of any United States application(s) listed below and PCT International Applications listed above or below, and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

(Application Number)	Day/Month/Year Filed	Status (Patented, Pending, Abandoned)
----------------------	----------------------	---------------------------------------

I hereby appoint Beth E. Arnold, Reg. No. 35,430; Paula A. Campbell, Reg. No. 32,503; Charles H. Cella, Reg. No. 38,099; Edward J. Kelly, Reg. No. 38,936; Donald W. Muirhead, Reg. No. 33,978; Chinh H. Pham, Reg. No. 39,329; Diana M. Steel, Reg. No. 43,153; Philip C. Swain, Reg. No. 32,376; Anita Varma, Reg. No. 43,221; and Matthew P. Vincent, Reg. No. 36,709; as attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Address all telephone calls to Donald W. Muirhead at telephone number (617) 832-1257. Address all correspondence to:

Patent Group  
Foley, Hoag & Eliot LLP  
One Post Office Square  
Boston, Ma. 02109-2170

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's signature Tomoe Yamamoto Date September 16, 1999

Full name of sole or first inventor (given name, family name) Tomoe YAMAMOTO

Residence Tokyo, Japan Citizenship Japan

Post Office Address (include zip code) c/o NEC Corporation,  
7-1, Shiba 5-chome, Minato-ku, Tokyo,

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Full name of sole or first inventor (given name, family name) \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_

Post Office Address (include zip code) \_\_\_\_\_

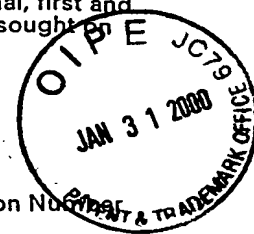
Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Full name of sole or first inventor (given name, family name) \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_

Post Office Address (include zip code) \_\_\_\_\_

☐ Additional inventors are being named on separately numbered sheets attached hereto.





UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington D C 20231

Employee

*Paula Butta*

Team

3

Date

03/08/10

To

Technology Center 280

From

Dora Stroud, Supervisor  
OIPE Customer Service  
Charge Location 0350

Subject Customer Requests

Serial Number

09/450351

File Location

28C1

The attached request for a corrected file receipt has been completed. Please forward the case to OIPE, Customer Service, CP2-6<sup>th</sup> Floor.

If you decide to keep the case, assign the request a paper number, endorse contents, punch holes in the documents, and replace the old Bib Data sheet with the updated version in the center of the file.

Your cooperation is greatly appreciated

103-00000001

# FILE COPY

SERIAL NUMBER 09/450,351	FILING DATE 11/29/99	CLASS 438	GROUP ART UNIT 2812	ATTORNEY DOCKET NO. SHM-00901
-----------------------------	-------------------------	--------------	------------------------	----------------------------------

APPLICANT

TOMOE YAMAMOTO, TOKYO, JAPAN.

\*\*CONTINUING DOMESTIC DATA\*\*\*\*\*  
VERIFIED

\*\*371 (NAT'L STAGE) DATA\*\*\*\*\*  
VERIFIED

\*\*FOREIGN APPLICATIONS\*\*\*\*\*  
VERIFIED JAPAN 337542/1998 11/27/98  
JAPAN 55185/1999 03/03/99

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 01/07/00

Foreign Priority claimed 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no Verified and Acknowledged <u>Examiner's Initials</u> <u>Initials</u>	STATE OR COUNTRY JPX	SHEETS DRAWING 15	TOTAL CLAIMS 20	INDEPENDENT CLAIMS 2
--	-------------------------	----------------------	--------------------	-------------------------

ADDRESS	PATENT GROUP FOLEY HOAG & ELIOT LLP ONE POST OFFICE SQUARE BOSTON MA 02109-2170
---------	--

TITLE	METHOD FOR MANUFACTURING A SEMICONDUCTOR DEVICE
-------	---

FILING FEE RECEIVED \$760	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT NO. _____ for the following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
------------------------------	---	---